

FORM PTO-1390  
(REV 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/530303

INTERNATIONAL APPLICATION NO.

PCT/US98/23055

INTERNATIONAL FILING DATE

30 October 1998 (30.10.98)

PRIORITY DATE CLAIMED

(30.10.97)

TITLE OF INVENTION

Automated Hair Isolation and Processing System

APPLICANT(S) FOR DO/EO/US

Christopher R. King (Applicant and sole inventor)

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

- Statement Claiming Small Entity Status -- Independent Inventor

09/530303

PCT/US 98/23055

CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO. .... \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 670.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	17 - 20 =	None	X \$18.00
Independent claims	5 - 3 =	2	X \$78.00

\$

\$ 156.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$260.00

\$ 260.00

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1086.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 543.00

**SUBTOTAL =**

\$ 543.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 543.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 543.00

Amount to be

refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 543.00 to cover the above fees is enclosed. #1731

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Christopher R. King  
7508 Wood Court  
Fishers IN 46038

Christopher R King 04/27/2000

SIGNATURE:

Christopher R. King

NAME

REGISTRATION NUMBER

09/530303

422 Rec'd PCT/PTO 27 APR 2000.

**Certification under 37 CFR 1.10**

Express Mail mailing number: EK496158321US  
Date of Deposit: 27 APRIL 2000

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing Christopher R. King  
Typed name of person mailing: Christopher R. King

Christopher R. King  
7508 Wood Court  
Fishers, IN 46038

27 APRIL 2000

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Dear Assistant Commissioner for Patents:

This correspondence concerns PCT/US98/23055. It contains the following:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371. (2 pages)
2. A check # 1731 payable to the "Commissioner for Patents and Trademarks" in the amount of \$543.00 to cover US national fees for PCT/US98/23055.
3. Statement Claiming Small Entity Status (37 CFR 1.9(F) & 1.27 (b))-- Independent Inventor. (1 page)
4. Declaration for Utility or Design Patent Application (37 CFR 1.63) (2 Pages)
5. Request to retain disclosure documents 402107 & 402700 filed on July 8, 1996 and July 16, 1996 respectively.

Sincerely,

Christopher R. King  
Christopher R. King  
Applicant/Sole Inventor

09/530303

422 Rec'd PCT/PTO 27 APR 2000

Christopher R. King  
7508 Wood Court  
Fishers, IN 46038  
04/27/2000

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

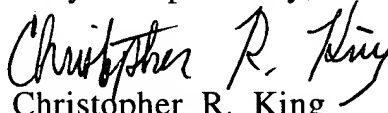
Dear Commissioner:

In order to support US provisional patent application number **60/063,574** (filed 30 October 1997) and its associated PCT application PCT/US98/23055 that is now being entered into the US National stage, please retain the following previously filed disclosure documents:

1. **Document number 402107**, filed on July 8, 1996. It is entitled: "Disclosure of Script Used to Make Hair Attacher Explanation Video A--A Four Hour Video Used to Explain My Hair Attacher Invention." It contains 83 sheets of written description.
2. **Document number 402700**, filed on July 16, 1996. It is entitled: "Disclosure of Script Used to Make Hair Attacher Explanation Video A--A Four Hour Video Used to Explain My Hair Attacher Invention--Second Copy Sent." It contains 101 sheets of written description.

The above documents were filed with the USPTO's Disclosure Document program.

Very Respectfully,

  
Christopher R. King  
Inventor

09/530304  
528 Rec'd PCT/PTO 27 APR 2000

The PTO did not receive the following  
listed item(s)

NO POST CARD